



**DTC REQUEST FORM**

Date: \_\_\_\_\_

**Penson Financial Account Number:** \_\_\_\_\_

**Penson Financial Account Name:** \_\_\_\_\_

Symbol/ CUSIP	Shares	Symbol/ CUSIP	Shares
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**Receiving Firm DTC Number:** \_\_\_\_\_

**Receiving Account Number:** \_\_\_\_\_

**Receiving Account Name:** \_\_\_\_\_

**Customer Signature:** \_\_\_\_\_

**Customer Signature (Joint Account):** \_\_\_\_\_

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

**NOTARY SIGNATURE:** \_\_\_\_\_

**NOTARY SEAL:**

\*\*notary required for all 3rd party transaction\*\*

**Internal Use Only**

**Registered Principal Approval**

Print Name

Title

Signature

Date